PTO/SB/05 (08-03)
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UTILITY PATENT APPLICATION **TRANSMITTAL**

| Attorney Docket No. | 1816 |
|------------------------|---|
| First Inventor | Fred S. Cook |
| Title | PROXY DEVICE AND METHOD OF OPERATION FOR A WINDOWING INTERNET PROTOCOL DATA NETWORK |
| Express Mail Label No. | EV335506612US |

| (Only for new nonprovisional applications under 37 C.F.R. 1.53(b)) | | | | | ress Mail Lab | er No. | | | | | |
|--|---|---|-----------------------------------|---|---------------------------------------|---|-------------------|-----------------------|--|--|--|
| APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents. | | | | | | ADDRESS TO: Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria VA 22313-1450 | | | | | |
| 1. Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing) 2. Applicant claims small entity status. See 37 CFR 1.27. 3. Specification [Total Pages 39] (preferred arrangement set forth below) - Descriptive title of the Invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D | | | | | | 7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) 8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. Computer Readable Form (CRF) b. Specification Sequence Listing on: i. CD-ROM or CD-R (2 copies); or ii. Paper c. Statements verifying identity of above copies | | | | | |
| | or a computer p | quence listing, a t rogram listing app | | | | ACCOMPANYING APPLICATIONS PARTS | | | | | |
| - | Background of t Brief Summary Brief Description Detailed Descrip Claim(s) | of the Invention of the Drawings | (if filed) | | 9. 🛛 10. 🔲 | | | | | | |
| - | Abstract of the I | Disclosure | | | 11. 🔲 | Englisl | h Translation Doo | ument (if applicable) | | | |
| 5. Oath or I | | | [Total Sheets 9 Total Sheets 2 |] | 12. 1 | Information Disclosure Copies of IDS Statement (IDS)/PTO-1449 Citations Preliminary Amendment | | | | | |
| | = | ted (original or o | | | 14. 🛛 | Return | Receipt Postcard | i (MPEP 503) | | | |
| b. ☐ Copy from a prior application (37 CFR 1.63 (d)) (for a continuation/divisional with Box 18 completed) i. ☐ DELETION OF INVENTOR(S) | | | | | | (Should be specifically itemized) 15. Certified Copy of Priority Document(s) (if foreign priority is claimed) | | | | | |
| Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). | | | | | 16. 🖾 | | | | | | |
| 6. 🖾 App | olication Data | Sheet. See 37 | CFR 1.76 | | 17. 🛛 | 17. Other: Certificate of Mailing by Express Mail | | | | | |
| 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76. Continuation Divisional Continuation-in-part (CIP) Of prior application No: Art Unit: For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. | | | | | | | | | | | |
| | | | 19. CORF | RESPON | NDENCE A | DRESS | 3 | | | | |
| ☑ Customer Number 2800 | | | | | | OR | | | | | |
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| | | | | egistration No. (Attorney/Agent) 46,799 | | | | | | | |
| Signature Jolan | | | ans | lu | Date | December 10, 2003 | | | | | |

This collection of information is required by 37 CFR 1.53(b) The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 USC. 122 and 37 OFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mall Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Certificat of Mailing und r 37 CFR 1.10

| Application Number | |
|------------------------|--------------|
| Filing Date | |
| First Named Inventor | Fred S. Cook |
| Examiner Name | |
| Attorney Docket Number | 1816 |

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage in an Express Mail envelope bearing label number: EV335506612US, addressed to:

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On <u>December 10, 2003</u>

Date

Signature

Julie M. Zoller

Typed or Printed Name of Person Signing Certificate

Utility Patent Application Transmittal (1 page)
Fee Transmittal (1 page) in Duplicate
Application Data Sheet (2 pages)
Specification (39 pages)
Drawings (9 pages)
Nonpublication Request Under 35 U.S.C. 122(b)(2)(B)(i) (1 page)
Combined Declaration and Power of Attorney (2 pages)
Recordation Form Cover Sheet and Assignment (3 pages)
Return Receipt Postcard

Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

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| FEE TRANSMITTAL | | | | | | | Applic | ation | Number | | | | | |
| for FY 2004 | | | | | | | | Date | | | | | | |
| 101 1 1 2007 | | | | | | | <u> </u> | | d Inventor | Fred | Fred S. Cook | | | |
| Effective 10/01/2003. Patent fees are subject to annual revision. | | | | | | | First Named Inventor | | | 1.00 | Fred 3. Cook | | | |
| Applicant claims small entity status. See 37 CFR 1.27 | | | | | | | Examiner Name Art Unit | | | | | | | |
| | | | | | | | 1010 | | | | | | | |
| TOTAL AMOUNT OF PAYMENT (\$) 1076 | | | | | | | Attorney Docket No. 1816 | | | | | | | |
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| ☐ Check ☐ Credit card ☐ Money ☐ Other ☐ None | | | | | | | 3. ADDITIONAL FEES Large Entity Small Entity | | | | | | | |
| Order ☑ Deposit Account: | | | | | | | | Fee | Fee | Fee | | | | |
| Deposit | Γ. | | | | | 1 | Fee Code | (\$) | Code | | Fee De | escription | Fee Paid | |
| Account | 21- | 0765 | | | | | 1051 | 130 | 2051 | 65 | - | filing fee or oath | | |
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| The Director is | | | | | | , | 1804 | 920 | 1804 | 920* | Requesting pub Examiner action | lication of SIR prior to | | |
| ☑ Charge fee(s☑ Charge any a | ddition | al fee(s) duri | ing the pe | ndency o | of this application | n | 1805 | 1,84 | 0* 1805 | 1,840 | Requesting pub Examiner action | lication of SIR after | | |
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| to the above-ide | nuneu c | | CULATIO | N | | | 1252 | 420 | 2252 | 210 | Extension for re month | ply within second | | |
| 1. BASIC | FILING | FEE | | | | | 1253 | 950 | 2253 | 475 | Extension for re | ply within third month | | |
| Large Entity | Small Entity | | | | | | | 1,48 | 0 2254 | 740 | Extension for re month | ply within fourth | | |
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| 1001 770 | 2001 | | ility filing fo | ee | 770 | \neg | 1401 | 330 | 2401 | 165 | Notice of Appea | ıl | | |
| 1002- 340 | 2002 | | esign filing | | | ┥ | 1402 | 330 | 2402 | 165 | Filing a brief in | support of an appeal | | |
| 1003 530 | 2003 | | ant filing fe | | _ | 7 | 1403 | 290 | 2403 | 145 | Request for ora | hearing | | |
| 1004 770 | 2004 | 385 Re | eissue filin | g fee | | | 1451 | 1,51 | 0 1451 | 1,510 | Petition to institution proceeding | rte a public use | | |
| 1005 160 | 2005 | 80 Pr | ovisional f | illing tee | | | 1452 | 110 | 2452 | 55 | Petition to revive | e – unavoidable | | |
| | 5 | SUBTOTAL (| 1) | | (\$) 770 | 7 | 1453 | 1,33 | 0 2453 | 665 | Petition to revive | e – unintentional | | |
| | | | | | | | 1501 | 1,33 | 0 2501 | 665 | Utility issue fee | (or reissue) | | |
| 2. EXTRA CL | AIM F | | | | | | 1502 | 480 | 2502 | 240 | Design issue fee | | | |
| | | | Extra Claims | Fee fro below | m Fee Paid | | 1503 | 640 | 2503 | 320 | Plant issue fee | | | |
| Total Claims 30 |) -: | | | X 18 | = 180 | | 1460 | 130 | 1460 | 130 | Petitions to the | | | |
| ndependent | 一 | Ē | | | | _ | 1807 | 50 | 1807 | 50 | • | under 37 CFR 1.17 (q) | ' | |
| Claims 4 | | 3** = 1 | ' | X 86 | = 86 | ╡ | 1806 | 180 | 1806 | 180 | Stmt | nformation Disclosure | | |
| Multiple Dependent | . e. | mali Entitu | | × [| = 0 | | 8021 | 40 | 8021 | 40 | Recording each per property (tin properties) | patent assignment nes number of | 40 | |
| Large Entity Fee Fee | Fee | mall Entity Fee | | | | | 1809 | 770 | 2809 | 385 | | sion after final rejection | , | |
| Code (\$) | Cod | | Fee Des | cription | | | 1 | | | | (37 CFR § 1.12 | | | |
| 1202 . 18 1201 . 86 | 220 | | | n excess | of 20 ns in excess of 3 | 3 | 1810 | 770 | 2810 | 385 | For each addition examined (37 C | onal invention to be FR § 1.129(b)) | | |
| 1203 290 | 220 | | • | | nt claim, if not pa | | 1801 | 770 | 2801 | 385 | Request for Contin | ued Examination (RCE) | | |
| 1204 86 | 220 | ** Reissue independent claims over | | | | | 1802 | 900 | 1802 | 900 | Request for expec | | | |
| 1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent | | | | | | | of a design application | | | | | | | |
| | | | | | | | Other fe | e (sp | ecify) | - | | | | |
| SUBTOTAL (2) (\$) 266 | | | | | | | *Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 40 | | | | | | | |
| **or number previously paid, if greater, For Reissues, see above | | | | | | | | | | | | | | |
| SUBMITTED BY | | | | | | | | | | | Com | plete (if applicable) | | |
| Name (Print/Type) Gregg L. Jansen, (Attorney/Agent) | | | | | | 46,799 Telephone 303-938-9999 | | | | | | | | |
| Crogg E delicer | | | | 1.5 | | | | 10-1-10-10-10-10-10-10-10-10-10-10-10-10 | | | | | | |

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PTO/SB/35 (08-03)
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NONPUBLICATION REQUEST UNDER 35 U.S.C. 122(b)(2)(B)(i)

First Named Inventor Fred S. Cook

PROXY DEVICE AND METHOD OF OPERATION FOR A WINDOWING INTERNET PROTOCOL DATA NETWORK

Atty Docket Number 1816

I hereby certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

I hereby request that the attached application not be published under 35 U.S.C. 122(b).

December 10, 2003

Date

Signature

303-938-9999

Telephone number

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant must notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).

This collection of information is required by 37 CFR 1.213(a). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 6 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.